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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

45

Application Number

10/603,285

Filing Date

June 24, 2003

First Named Inventor

Michael E. Shanahan

Art Unit

2642

Examiner Name

Ahmad Matar

Attorney Docket Number

MES-002-con2

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

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After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

Statement Pursuant to 37 C.F.R. Section  
1.97(e)(1); Copy of foreign patent document

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Kaliko & Yeager, L.L.C.

Signature

*Todd W. Evans*

Printed name

Todd W. Evans

Date

May 17, 2005

Reg. No.

44,101

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature

*Todd W. Evans*

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Todd W. Evans

Date

May 17, 2005

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**PATENTS**  
**Attorney Docket No. MES-002-con2**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

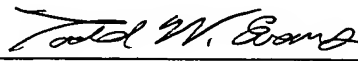
Applicant: Michael E. Shanahan  
Application No.: 10/603,285  
Title of Invention: Methods and Apparatuses for Programming User-Defined  
Information into Electronic Devices  
Filed: June 24, 2003  
Art Unit: 2642  
Examiner: Ahmad Matar

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**STATEMENT PURSUANT TO 37 C.F.R. § 1.97 (e)(1)**

Each item of information contained in the attached information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date that this statement and the attached information disclosure statement are being filed. Since there has been no final action, notice of allowance, or other action that closes prosecution of the present application mailed as of the date that these documents are being filed, there is no fee due at this time, pursuant to 37 C.F.R. § 1.97 (c).

Dated: 5/17/05

  
\_\_\_\_\_  
Todd W. Evans, Esq.  
Reg. No. 44,101  
Kaliko & Yeager, L.L.C.  
Attorneys for Applicant



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/603,285
Filing Date	June 24, 2003
First Named Inventor	Michael E. Shanahan
Art Unit	2642
Examiner Name	Ahmad Matar
Attorney Docket Number	MES-002-con2

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,694,455	12-02-1997	GOODMAN	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO-98/11487	03-19-1998	AUDIBLE, INC.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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